

Title: Seidl: Virtual_Machines (04.06.2013)

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Pages: 60

$$\rho(x_1, x_2) \leftarrow g(x_2, x_3)$$

28 Construction of Terms in the Heap

Parameter terms of goals (calls) are constructed in the heap before passing.

Assume that the address environment ρ returns, for each clause variable X its address (relative to FP) on the stack. Then $\text{code}_A t \rho$ should ...

- construct (a presentation of) t in the heap; and
- return a reference to it on top of the stack.

Idea:

- Construct the tree during a post-order traversal of t
- with one instruction for each new node!

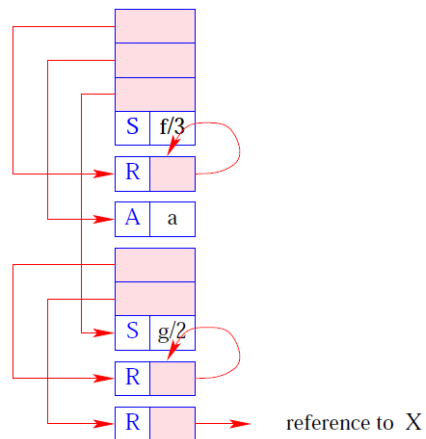
Example: $t \equiv f(g(X, Y), a, Z)$.

Assume that X is initialized, i.e., $S[\text{FP} + \rho X]$ contains already a reference, Y and Z are not yet initialized.

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Representing

$t \equiv f(g(X, Y), a, Z)$:



228

For a distinction, we mark occurrences of already initialized variables through over-lining (e.g. \bar{X}).

Note: Arguments are always initialized!

Then we define:

$\text{code}_A a \rho$	=	putatom a	$\text{code}_A f(t_1, \dots, t_n) \rho$	=	$\text{code}_A t_1 \rho$
$\text{code}_A X \rho$	=	putvar (ρX)			...
$\text{code}_A \bar{X} \rho$	=	putref (ρX)			$\text{code}_A t_n \rho$
$\text{code}_A _ \rho$	=	putanon			putstruct f/n

229

For a distinction, we mark occurrences of already initialized variables through over-lining (e.g. \bar{X}).

Note: Arguments are always initialized!

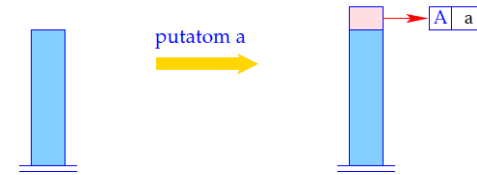
Then we define:

$\text{code}_A a \rho = \text{putatom } a$	$\text{code}_A f(t_1, \dots, t_n) \rho = \text{code}_A t_1 \rho$
$\text{code}_A X \rho = \text{putvar } (\rho X)$...
$\text{code}_A \bar{X} \rho = \text{putref } (\rho X)$	$\text{code}_A t_n \rho$
$\text{code}_A _ \rho = \text{putanon}$	$\text{putstruct } f/n$

For $f(g(\bar{X}, Y), a, Z)$ and $\rho = \{X \mapsto 1, Y \mapsto 2, Z \mapsto 3\}$ this results in the sequence:

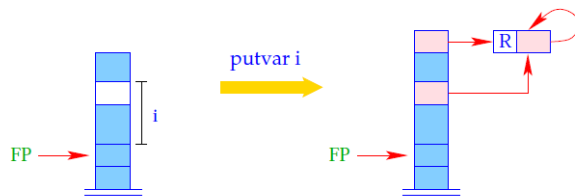
$\text{putref } 1$	$\text{putatom } a$
$\text{putvar } 2$	$\text{putvar } 3$
$\text{putstruct } g/2$	$\text{putstruct } f/3$

The instruction `putatom a` constructs an atom in the heap:



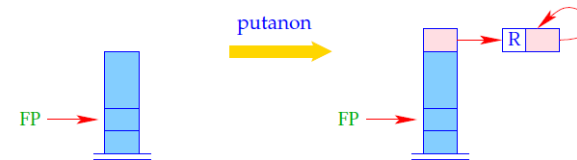
$SP++; S[SP] = \text{new } (A, a);$

The instruction `putvar i` introduces a new unbound variable and additionally initializes the corresponding cell in the stack frame:



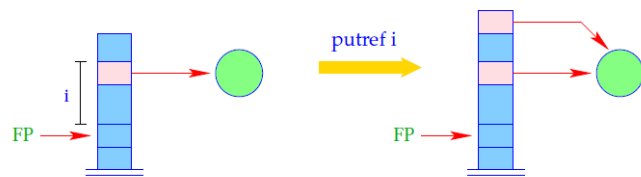
$SP = SP + 1;$
 $S[SP] = \text{new } (R, HP);$
 $S[FP + i] = S[SP];$

The instruction `putanon` introduces a new unbound variable but does not store a reference to it in the stack frame:



$SP = SP + 1;$
 $S[SP] = \text{new } (R, HP);$

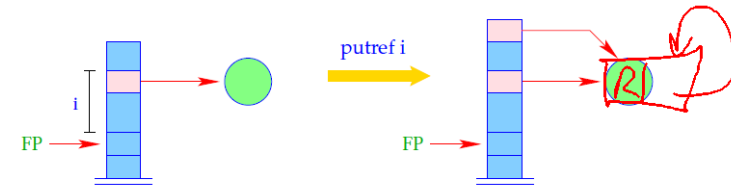
The instruction `putref i` pushes the value of the variable onto the stack:



$SP = SP + 1;$
 $S[SP] = \text{deref } S[FP + i];$

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The instruction `putref i` pushes the value of the variable onto the stack:



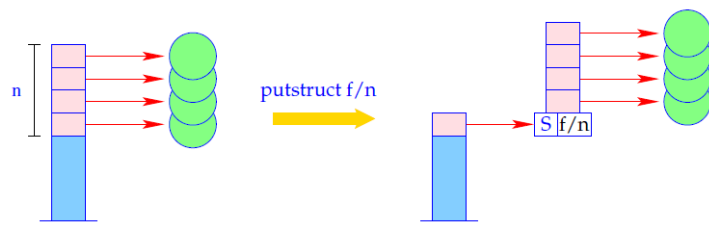
$SP = SP + 1;$
 $S[SP] = \text{deref } S[FP + i];$

The auxiliary function `deref` contracts chains of references:

```
ref deref (ref v) {
  if (H[v] == (R, w) && v != w) return deref (w);
  else return v;
}
```

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The instruction `putstruct f/n` builds a constructor application in the heap:



$v = \text{new } (S, f, n);$
 $SP = SP - n + 1;$
 for ($i=1; i \leq n; i++$)
 $\quad H[v + i] = S[SP + i - 1];$
 $S[SP] = v;$

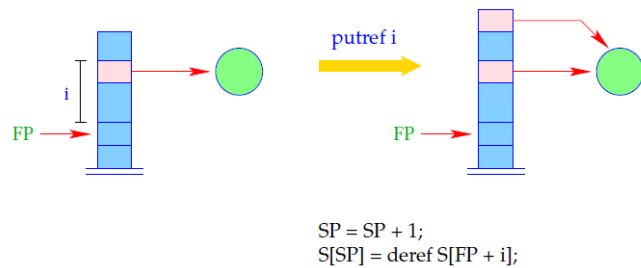
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Remarks:

- The instruction `putref i` does not just push the reference from $S[FP + i]$ onto the stack, but also dereferences it as much as possible
 \implies maximal contraction of reference chains.
- In constructed terms, references always point to **smaller** heap addresses. Also otherwise, this will be often the case. Sadly enough, it cannot be **guaranteed** in general :-)

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The instruction `putref i` pushes the value of the variable onto the stack:



The auxiliary function `deref` contracts chains of references:

```

ref deref (ref v) {
  if (H[v]==(R,w) && v!=w) return deref (w);
  else return v;
}

```

For a distinction, we mark occurrences of already initialized variables through overlining (e.g. \overline{X}).

Note: Arguments are always initialized!

Then we define:

```

codeA a ρ = putatom a           codeA f(t1, ..., tn) ρ = codeA t1 ρ
codeA X ρ = putvar (ρ X)         ...
codeA  $\overline{X}$  ρ = putref (ρ X)     codeA tn ρ
codeA _ ρ = putanon              putstruct f/n

```

For $f(g(\overline{X}, Y), a, Z)$ and $\rho = \{X \mapsto 1, Y \mapsto 2, Z \mapsto 3\}$ this results in the sequence:

```

putref 1           putatom a
putvar 2           putvar 3
putstruct g/2     putstruct f/3

```

```

codeG p(t1, ..., tk) ρ =   mark B           // allocates the stack frame
                           codeA t1 ρ
                           ...
                           codeA tk ρ
                           call p/k         // calls the procedure p/k
B: ...

```

```

codeG p(t1, ..., tk) ρ =   mark B           // allocates the stack frame
                           codeA t1 ρ
                           ...
                           codeA tk ρ
                           call p/k         // calls the procedure p/k
B: ...

```

Example: $p(a, X, g(\overline{X}, Y))$ with $\rho = \{X \mapsto 1, Y \mapsto 2\}$

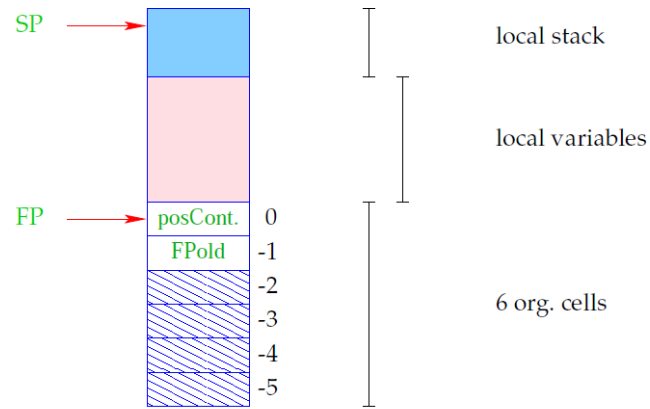
We obtain:

```

mark B           putref 1           call p/3
putatom a        putvar 2           B: ...
putvar 1         putstruct g/2

```

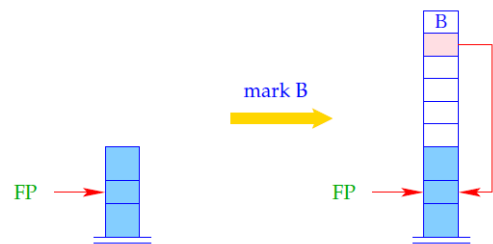
Stack Frame of the WiM:



Remarks:

- The **positive** continuation address records where to continue after successful treatment of the goal.
- Additional organizational cells are needed for the implementation of **backtracking**
 - ⇒ will be discussed at the translation of predicates.

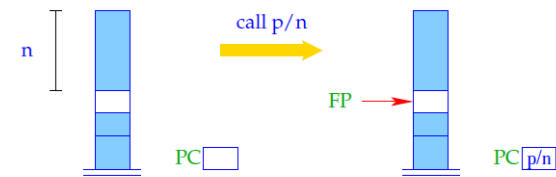
The instruction **mark B** allocates a new stack frame:



$$SP = SP + 6;$$

$$S[SP] = B; S[SP-1] = FP;$$

The instruction **call p/n** calls the **n**-ary predicate **p**:



$$FP = SP - n;$$

$$PC = p/n;$$

30 Unification

Convention:

- By \tilde{X} , we denote an occurrence of X ;
it will be translated differently depending on whether the variable is initialized or not.
- We introduce the macro `put \tilde{X} ρ` :

```
put  $X$   $\rho$  = putvar ( $\rho$   $X$ )  
put  $\_$   $\rho$  = putanon  
put  $\tilde{X}$   $\rho$  = putref ( $\rho$   $X$ )
```

245

Let us translate the unification $\tilde{X} = t$.

Idea 1:

- Push a reference to (the binding of) X onto the stack;
- Construct the term t in the heap;
- Invent a new instruction implementing the unification :-)

246

Let us translate the unification $\tilde{X} = t$.

Idea 1:

- Push a reference to (the binding of) X onto the stack;
- Construct the term t in the heap;
- Invent a new instruction implementing the unification :-)

```
codeG ( $\tilde{X} = t$ )  $\rho$  = put  $\tilde{X}$   $\rho$   
                    codeA  $t$   $\rho$   
                    unify
```

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Example:

Consider the equation:

$$\tilde{U} = f(g(\tilde{X}, Y), a, Z)$$

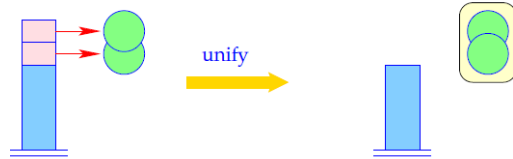
Then we obtain for an address environment

$$\rho = \{X \mapsto 1, Y \mapsto 2, Z \mapsto 3, U \mapsto 4\}$$

```
putref 4   putref 1   putatom a   unify  
           putvar 2   putvar 3  
           putstruct g/2   putstruct f/3
```

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The instruction `unify` calls the run-time function `unify()` for the topmost two references:



```
unify (S[SP-1], S[SP]);
SP = SP-2;
```

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The Function `unify()`

- ... takes two heap addresses.
For each call, we guarantee that these are **maximally de-referenced**.
- ... checks whether the two addresses are already **identical**.
If so, does nothing :-)
- ... binds **younger variables** (larger addresses) to **older variables** (smaller addresses);
- ... when binding a variable to a term, checks whether the variable occurs inside the term \implies **occur-check**;
- ... **records** newly created bindings;
- ... may **fail**. Then **backtracking** is initiated.

250

Let us translate the unification $\tilde{X} = t$.

Idea 1:

- Push a reference to (the binding of) X onto the stack;
- Construct the term t in the heap;
- Invent a new instruction implementing the unification :-)

```
codeG ( $\tilde{X} = t$ )  $\rho$  = put  $\tilde{X}$   $\rho$ 
                      codeA  $t$   $\rho$ 
                      unify
```

247

The Function `unify()`

- ... takes two heap addresses.
For each call, we guarantee that these are **maximally de-referenced**.
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- ... binds **younger variables** (larger addresses) to **older variables** (smaller addresses);
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250

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- ... when binding a variable to a term, checks whether the variable occurs inside the term \implies **occur-check**;
- ... **records** newly created bindings;
- ... may **fail**. Then **backtracking** is initiated.



250

```
bool unify (ref u, ref v) {
  if (u == v) return true;
  if (H[u] == (R,_)) {
    if (H[v] == (R,_)) {
      if (u>v) {
        H[u] = (R,v); trail (u); return true;
      } else {
        H[v] = (R,u); trail (v); return true;
      }
    } elseif (check (u,v)) {
      H[u] = (R,v); trail (u); return true;
    } else {
      backtrack() return false;
    }
  }
  ...
}
```

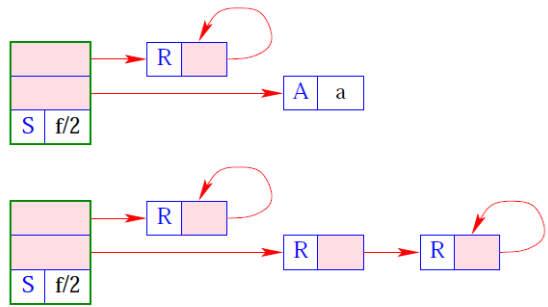
251

```
bool unify (ref u, ref v) {
  if (u == v) return true;
  if (H[u] == (R,_)) {
    if (H[v] == (R,_)) {
      if (u>v) {
        H[u] = (R,v); trail (u); return true;
      } else {
        H[v] = (R,u); trail (v); return true;
      }
    } elseif (check (u,v)) {
      H[u] = (R,v); trail (u); return true;
    } else {
      backtrack(); return false;
    }
  }
  ...
}
```

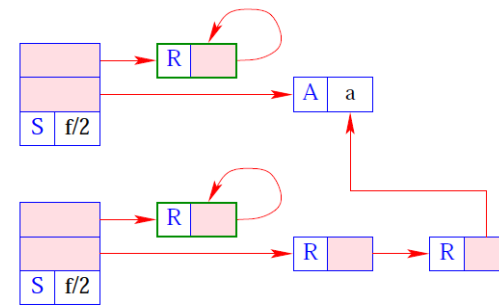
251

```
...
if ((H[v] == (R,_)) {
  if (check (v,u)) {
    H[v] = (R,u); trail (v); return true;
  } else {
    backtrack(); return false;
  }
}
if (H[u]==(A,a) && H[v]==(A,a))
  return true;
if (H[u]==(S, f/n) && H[v]==(S, f/n)) {
  for (int i=1; i<=n; i++)
    if(!unify (deref (H[u+i]), deref (H[v+i])) return false;
  return true;
}
backtrack(); return false;
}
```

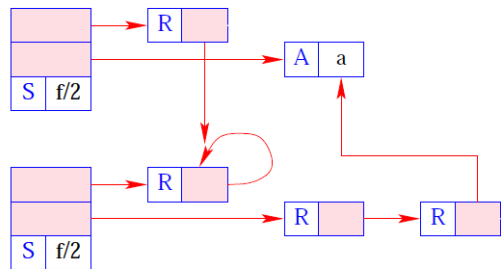
252



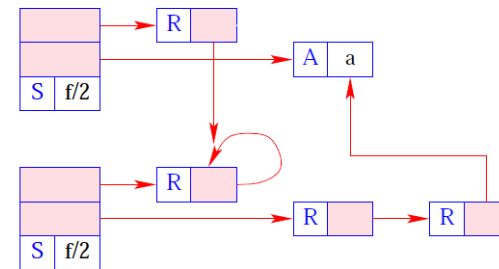
254



256



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257

- The run-time function `trail()` records the a potential new binding.
- The run-time function `backtrack()` initiates backtracking.
- The auxiliary function `check()` performs the occur-check: it tests whether a variable (the first argument) occurs inside a term (the second argument).
- Often, this check is skipped, i.e.,

```
bool check (ref u, ref v) { return true;}
```

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Otherwise, we could implement the run-time function `check()` as follows:

```
bool check (ref u, ref v) {
  if (u == v) return false;
  if (H[v] == (S, f/n)) {
    for (int i=1; i<=n; i++)
      if (!check(u, deref (H[v+i])))
        return false;
    return true;
  }
}
```

259

Discussion:

- The translation of an equation $\tilde{X} = t$ is very simple :-)
- Often the constructed cells immediately become garbage :-)

Idea 2:

- Push a reference to the run-time binding of the left-hand side onto the stack.
- Avoid to construct sub-terms of t whenever possible !
- Translate each node of t into an instruction which performs the unification with this node !!

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$$X = f(f(r))$$

```
...
if ((H[v] == (R,_)) {
  if (check (v,u)) {
    H[v] = (R,u); trail (v); return true;
  } else {
    backtrack(); return false;
  }
}
if (H[u]==(A,a) && H[v]==(A,a))
  return true;
if (H[u]==(S, f/n) && H[v]==(S, f/n)) {
  for (int i=1; i<=n; i++)
    if (!unify (deref (H[u+i]), deref (H[v+i])) return false;
  return true;
}
backtrack(); return false;
}
```

262

Discussion:

- The translation of an equation $\tilde{X} = t$ is very simple :-)
- Often the constructed cells immediately become **garbage** :-)

Idea 2:

- Push a reference to the run-time binding of the left-hand side onto the stack.
- Avoid to construct sub-terms of t whenever possible !
- Translate each node of t into an instruction which performs the unification with this node !!

```
codeG ( $\tilde{X} = t$ )  $\rho$  = put  $\tilde{X}$   $\rho$ 
                    codeU  $t$   $\rho$ 
```

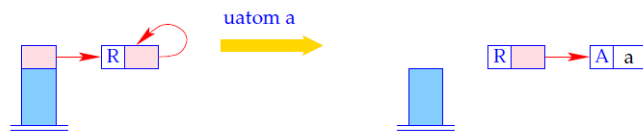
261

Let us first consider the unification code for atoms and variables only:

```
codeU  $a$   $\rho$  = uatom  $a$ 
codeU  $X$   $\rho$  = uvar ( $\rho X$ )
codeU  $\_$   $\rho$  = pop
codeU  $\tilde{X}$   $\rho$  = uref ( $\rho X$ )
... // to be continued :-)
```

262

The instruction `uatom a` implements the unification with the atom `a`:



```
v = S[SP]; SP--;
switch (H[v]) {
case (A, a): break;
case (R, _): H[v] = (R, new (A, a));
             trail (v); break;
default:    backtrack();
}
```

- The run-time function `trail()` records the a potential new binding.
- The run-time function `backtrack()` initiates **backtracking**.

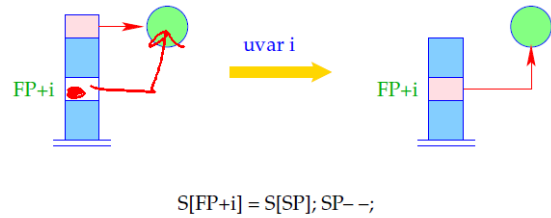
263

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codeU  $\_$   $\rho$  = pop
codeU  $\tilde{X}$   $\rho$  = uref ( $\rho X$ )
... // to be continued :-)
```

262

The instruction `uvar i` implements the unification with an un-initialized variable:



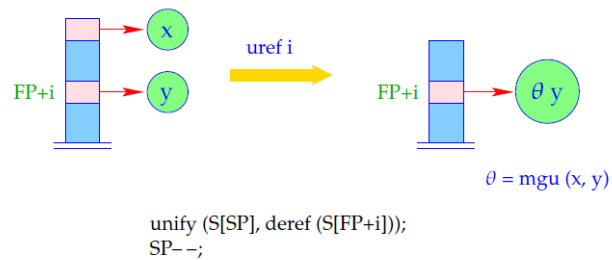
264

Let us first consider the unification code for atoms and variables only:

```
codeU a ρ = uatom a
codeU X ρ = uvar (ρ X)
codeU _ ρ = pop
codeU X̄ ρ = uref (ρ X)
... // to be continued :-)
```

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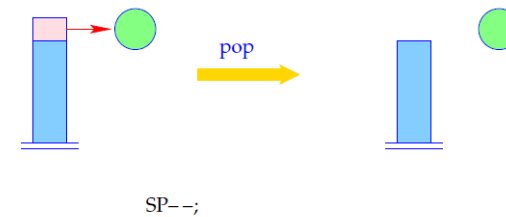
The instruction `uref i` implements the unification with an initialized variable:



It is only here that the run-time function `unify()` is called :-)

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The instruction `pop` implements the unification with an anonymous variable. It always succeeds :-)



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- The unification code performs a **pre-order** traversal over t .
- In case, execution hits at an unbound variable, we **switch** from checking to building :-)

```

codeU f(t1, ..., tn) ρ =   ustruct f/n A           // test
                             son 1
                             codeU t1 ρ
                             ...
                             son n
                             codeU tn ρ
                             up B
A : check ivars(f(t1, ..., tn)) ρ // occur-check
    codeA f(t1, ..., tn) ρ       // building !!
    bind                             // creation of bindings
B : ...

```

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- The unification code performs a **pre-order** traversal over t .
- In case, execution hits at an unbound variable, we **switch** from checking to building :-)

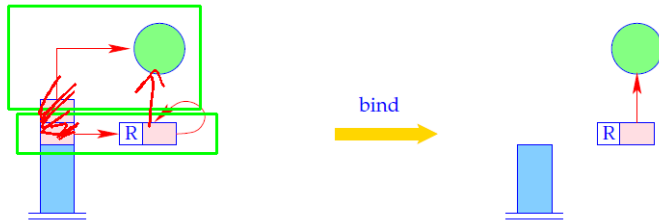
```

codeU f(t1, ..., tn) ρ =   ustruct f/n A           // test
                             son 1
                             codeU t1 ρ
                             ...
                             son n
                             codeU tn ρ
                             up B
A : check ivars(f(t1, ..., tn)) ρ // occur-check
    codeA f(t1, ..., tn) ρ       // building !!
    bind                             // creation of bindings
B : ...

```

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The instruction **bind** terminates the building block. It binds the (unbound) variable to the constructed term:



```

H[S[SP-1]] = (R, S[SP]);
trail (S[SP-1]);
SP = SP - 2;

```

270

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```

codeU f(t1, ..., tn) ρ =   ustruct f/n A           // test
                             son 1
                             codeU t1 ρ
                             ...
                             son n
                             codeU tn ρ
                             up B
A : check ivars(f(t1, ..., tn)) ρ // occur-check
    codeA f(t1, ..., tn) ρ       // building !!
    bind                             // creation of bindings
B : ...

```

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$$f(x, a(\bar{Y}))$$

The Building Block:

Before constructing the new (sub-) term t' for the binding, we must exclude that it contains the variable X' on top of the stack !!!

This is the case iff **the binding** of no variable inside t' contains (a reference to) X' .

⇒ $ivars(t')$ returns the set of **already initialized** variables of t .

⇒ The macro `check {Y1, ..., Yd} ρ` generates the necessary tests on the variables Y_1, \dots, Y_d :

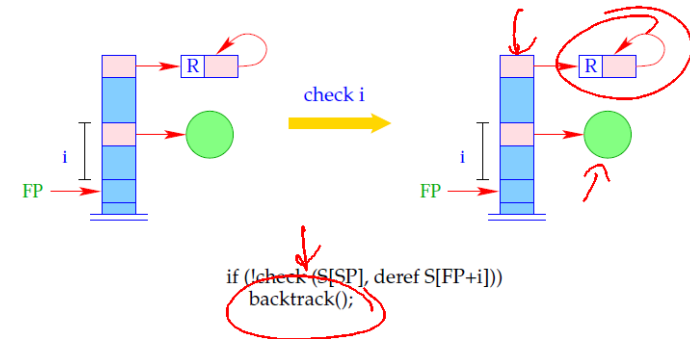
```

check {Y1, ..., Yd} ρ = check (ρ Y1)
                        check (ρ Y2)
                        ...
                        check (ρ Yd)
  
```

268

The instruction `check i` checks whether the (unbound) variable on top of the stack occurs inside the term bound to variable i .

If so, unification fails and **backtracking** is caused:



269

- The unification code performs a **pre-order** traversal over t .
- In case, execution hits at an unbound variable, we **switch** from checking to building :-)

```

codeU f(t1, ..., tn) ρ =
  ustruct f/n A // test
  son 1
  codeU t1 ρ
  ...
  son n
  codeU tn ρ
  up B
  A : check ivars(f(t1, ..., tn)) ρ // occur-check
      codeA f(t1, ..., tn) ρ // building !!
      bind // creation of bindings
  B : ...
  
```

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The Pre-Order Traversal:

- First, we **test** whether the topmost reference is an unbound variable. If so, we jump to the building block.
- Then we compare the root node with the constructor f/n .
- Then we **recursively descend** to the children.
- Then we **pop** the stack and proceed behind the unification code:

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Once again the unification code for constructed terms:

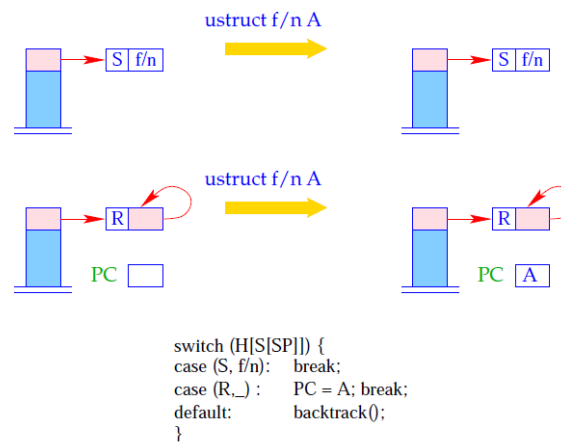
```

codeU f(t1, ..., tn) ρ =   ustruct f/n A           // test
                             son 1                 // recursive descent
                             codeU t1 ρ
                             ...
                             son n                 // recursive descent
                             codeU tn ρ
                             up B                   // ascent to father
A : check ivars(f(t1, ..., tn)) ρ
codeA f(t1, ..., tn) ρ
bind
B : ...

```

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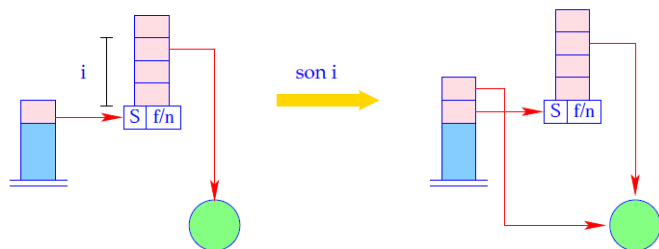
The instruction `ustruct i` implements the test of the root node of a structure:



... the argument reference is **not yet** popped :-)

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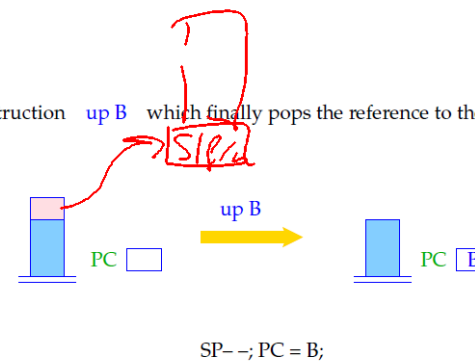
The instruction `son i` pushes the (reference to the) *i*-th sub-term from the structure pointed at from the topmost reference:



$S[SP+1] = \text{deref}(H[S[SP]+i]); SP++;$

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It is the instruction `up B` which finally pops the reference to the structure:



The continuation address `B` is the next address after the `build`-section.

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Example:

For our example term $f(g(\bar{X}, Y), a, Z)$ and
 $\rho = \{X \mapsto 1, Y \mapsto 2, Z \mapsto 3\}$ we obtain:

```
ustruct f/3 A1      up B2      B2: son 2      putvar 2
son 1
ustruct g/2 A2 A2: check 1      son 3      putatom a
son 1      putref 1      uvar 3      putvar 3
uref 1      putvar 2      up B1      putstruct f/3
son 2      putstruct g/2 A1: check 1      bind
uvar 2      bind      putref 1 B1: ...
```

Code size can grow quite considerably — for **deep** terms. In practice, though, deep terms are “rare” :-)