## Script generated by TTT

Title: Seidl: Programmoptimierung (03.02.2014)

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60 Pages:

> $\llbracket e \rrbracket^{\sharp} \supset (v \in \llbracket e_0 \rrbracket^{\sharp}) ? \llbracket e_i \rrbracket^{\sharp} : \emptyset$  $[y_i]^{\sharp} \supseteq (v \in [e_0]^{\sharp})? [e'_i]^{\sharp} : \emptyset$ If  $p_i \equiv (y_1, \dots, y_k)$  and  $v \equiv (e'_1, \dots, e'_k)$  is a value, then  $\llbracket e \rrbracket^{\sharp} \supseteq (v \in \llbracket e_0 \rrbracket^{\sharp}) ? \llbracket e_i \rrbracket^{\sharp} : \emptyset$  $[y_i]^{\sharp} \supseteq (v \in [e_0]^{\sharp})? [e'_i]^{\sharp} : \emptyset$

If  $p_i \equiv c y_1 \dots y_k$  and  $v \equiv c e'_1 \dots e'_k$  is a value, then

If 
$$p_i \equiv y$$
, then

$$\llbracket e 
rbracket^{\sharp} \supseteq \llbracket e_i 
rbracket^{\sharp}$$
 $\llbracket y 
rbracket^{\sharp} \supseteq \llbracket e_0 
rbracket^{\sharp}$ 

int-values returned by operators are described by the unevaluated expression;

Operator applications might return Boolean values or other basic values. Therefore, we do replace tests for basic values by non-deterministic choice ...

Assume  $e \equiv \text{match } e_0 \text{ with } p_1 \rightarrow e_1 \mid \ldots \mid p_k \rightarrow e_k$ . Then we generate for  $p_i \equiv b$  (basic value),

$$\llbracket e \rrbracket^{\sharp} \supseteq \llbracket e_i \rrbracket^{\sharp} : \emptyset$$

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#### Example The append-Function

Consider the concatenation of two lists. In Ocaml, we would write:

let 
$$\operatorname{rec app} = \operatorname{fun} x \to \operatorname{match} x$$
 with 
$$[] \to \operatorname{fun} y \to y$$
 in  $\operatorname{app} [1;2][3]$  The analysis then results in:

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[] \to \mathbf{fun} \ y \to y
|h::t \to \mathbf{fun} \ y \to h:: \mathsf{app} \ y
in app [1;2]
```

The analysis then results in:

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```
\begin{array}{lll} \dots & & \\ \llbracket h \rrbracket^{\sharp} & = & \{1,2\} \\ \llbracket t \rrbracket^{\sharp} & = & \{[2],[]\} \\ \llbracket \mathsf{app}\, t \rrbracket^{\sharp} & = & \\ \llbracket \mathsf{app}\, [1;2] \rrbracket^{\sharp} & = & \{\mathbf{fun}\, y \to y, \mathbf{fun}\, y \to h :: \mathsf{app} \dots \} \\ \llbracket \mathsf{app}\, t\, y \rrbracket^{\sharp} & = & \\ \llbracket \mathsf{app}\, [1;2]\, [3] \rrbracket^{\sharp} & = & \{[3], h :: \mathsf{app} \dots \} \end{array}
```

Values  $c \ e_1 \dots e_k$ ,  $(e_1, \dots, e_k)$  or operator applications  $e_1 \square e_2$  now are interpreted as recursive calls  $c \ \llbracket e_1 \rrbracket^\sharp \dots \llbracket e_k \rrbracket^\sharp$ ,  $(\llbracket e_1 \rrbracket^\sharp, \dots, \llbracket e_k \rrbracket^\sharp)$  or  $\llbracket e_1 \rrbracket^\sharp \square \llbracket e_2 \rrbracket^\sharp$ , respectively.

⇒ regular tree grammar

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## Example The append-Function

Consider the concatenation of two lists. In Ocaml, we would write:

```
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[] \to \text{fun } y \to y
|h::t \to \text{fun } y \to h :: \text{app } t \text{ } y
in app [1; 2] [3]
```

The analysis then results in:

 $\begin{array}{lll} [\![h]\!]^{\sharp} & = & \{1,2\} \\ [\![t]\!]^{\sharp} & = & \{[2],[]\!] \\ [\![\mathsf{app}\,t]\!]^{\sharp} & = & \\ [\![\mathsf{app}\,[\![1;2]\!]\!]^{\sharp} & = & \{\mathbf{fun}\,y \to y, \mathbf{fun}\,y \to h :: \mathsf{app} \ldots \} \end{array}$ 

Values  $c \ e_1 \dots e_k$ ,  $(e_1, \dots, e_k)$  or operator applications  $e_1 \square e_2$  now are interpreted as recursive calls  $c \ [e_1]^\sharp \dots [e_k]^\sharp$ ,  $([e_1]^\sharp, \dots, [e_k]^\sharp)$  or  $[e_1]^\sharp \square [e_2]^\sharp$ , respectively.

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## Example The append-Function

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let rec app 
$$=$$
 fun  $x \to$  match  $x$  with 
$$[] \quad \to \text{ fun } y \to y$$
 
$$|h::t \to \text{ fun } y \to h:: \text{app } t \, y$$

in app [1; 2] [3]

The analysis then results in:

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... in the Example:

We obtain for 
$$A=[\![\operatorname{app} t\,y]\!]^{\sharp}:$$
 
$$A \to [3] \quad | \left([\![h]\!]^{\sharp}::A\right)$$

Let  $\mathcal{L}(e)$  denote the set of terms derivable from  $\llbracket e \rrbracket^{\sharp}$  w.r.t. the regular tree grammar. Thus, e.g.,

$$\mathcal{L}(h) = \{1, 2\}$$

$$\mathcal{L}(\mathsf{app}\,t\,y) = \{[a_1; \dots, a_r; 3] \mid r \ge 0, a_i \in \{1, 2\}\}$$

Values  $c e_1 \dots e_k$ ,  $(e_1, \dots, e_k)$  or operator applications  $e_1 \square e_2$  now are interpreted as recursive calls  $c \llbracket e_1 \rrbracket^{\sharp} \dots \llbracket e_k \rrbracket^{\sharp}$ ,  $(\llbracket e_1 \rrbracket^{\sharp}, \dots, \llbracket e_k \rrbracket^{\sharp})$  or  $\llbracket e_1 \rrbracket^{\sharp} \square \llbracket e_2 \rrbracket^{\sharp}$ , respectively.

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Values  $c \ e_1 \dots e_k$ ,  $(e_1, \dots, e_k)$  or operator applications  $e_1 \square e_2$  now are interpreted as recursive calls  $c \ [e_1]^\sharp \dots [e_k]^\sharp$ ,  $([e_1]^\sharp, \dots, [e_k]^\sharp)$  or  $[e_1]^\sharp \square [e_2]^\sharp$ , respectively.

 $\implies$  regular tree grammar

## 4.3 An Operational Semantics

### Idea:

We construct a Big-Step operational semantics which evaluates expressions w.r.t. an environment :-)

Values are of the form:

$$v := b \mid c v_1 \dots c_k \mid (v_1, \dots, v_k) \mid (\mathbf{fun} \, x \to e, \eta)$$

Examples for Values:

c 1 
$$[1;2] = :: 1 (:: 2 [])$$
$$(\mathbf{fun} x \to x :: y, \{y \mapsto [5]\})$$

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## Function Application:

$$(e_1, \eta) \Longrightarrow (\mathbf{fun} \ x \to e, \eta_1)$$

$$(e_2, \eta) \Longrightarrow v_2$$

$$(e, \eta_1 \oplus \{x \mapsto v_2\}) \Longrightarrow v_3$$

$$(e_1 \ e_2, \eta) \Longrightarrow v_3$$

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### Case Distinction 2:

$$(e, \eta) \Longrightarrow c \, v_1 \dots v_k$$

$$(e_i, \eta \oplus \{z_1 \mapsto v_1, \dots, z_k \mapsto v_k\}) \Longrightarrow v$$

$$(\text{match } e \text{ with } p_1 \to e_1 \mid \dots \mid p_k \to e_k, \eta) \Longrightarrow v$$

if  $p_i \equiv c \ z_1 \dots z_k$  is the first pattern which matches  $c \ v_1 \dots v_k$ :-)

## 4.4 Application: Inlining

## Problem:

• global variables. The program:

let 
$$y=1$$
  
in let  $f = \text{let } x = 2$   
in  $\text{fun } y \to y + x$   
in

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... computes something else than:

• recursive functions. In the definition:

$$\mathsf{foo} = \mathsf{fun} \ y \ \to \ \mathsf{foo} \ y$$

foo should better not be substituted :-

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## 4.4 Application: Inlining

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let 
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in let  $f =$  let  $x = 2$   
in fun  $y \rightarrow y + x_2$   
in  $f x$ 

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Idea 1:

- → First, we introduce unique variable names.
- → Then, we only substitute functions which are staticly within the scope of the same global variables as the application :-)
- → For every expression, we determine all function definitions with this property :-)

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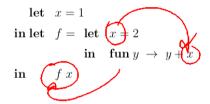
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Let D = D[e] denote the set of definitions which staticly arrive at e.

•• If  $e \equiv \det x_1 = e_1 \text{ in } e_0$  then:

$$D[e_1] = D$$

$$D[e_0] = D \cup \{x_1\}$$

•• If  $e \equiv \operatorname{fun} x \to e_1$  then:

$$D[e_1] = D \cup \{x\}$$

•• Similarly, for  $e \equiv \operatorname{match} \dots (c x_1 \dots x_k) \to e_i \dots,$   $D[e_i] = D \cup \{x_1, \dots, x_k\}$ 

- → First, we introduce unique variable names.
- → Then, we only substitute functions which are staticly within the scope of the same global variables as the application :-)
- → For every expression, we determine all function definitions with this property :-)

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In all other cases, D is propagated to the sub-expressions unchanged :-)

... in the Example:

let 
$$x = 1$$
  
in let  $f =$  let  $x_1 = 2$   
in fun  $y \to y + x$   
in  $f(x)$ 

... the application f(x) is not in the scope of  $x_1$ 

 $\implies$  we first duplicate the definition of  $x_1$ :

$$\begin{array}{lll} \mathbf{let} & x=1 \\ \mathbf{in} \ \mathbf{let} & \mathbf{\emph{x}_1}=2 \\ \\ \mathbf{in} \ \mathbf{let} & f= \ \mathbf{let} & \mathbf{\emph{x}_1}=2 \\ \\ & & \mathbf{in} & \mathbf{fun} \ y \ \rightarrow \ y+\mathbf{\emph{x}_1} \\ \\ \mathbf{in} & f \ x \end{array}$$

⇒ the inner definition becomes redundant !!!

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let 
$$x = 1$$
  
in let  $x_1 = 2$   
in let  $f = \text{fun } y \rightarrow y + x_1$   
in let  $y = x$   
in  $y + x_1$ 

Removing variable-variable-assignments, we arrive at:

$$\begin{array}{lll} \mathbf{let} & x=1 \\ \mathbf{in} \ \mathbf{let} & \textcolor{red}{x_1}=2 \\ \\ \mathbf{in} \ \mathbf{let} & f= \ \mathbf{let} & \textcolor{red}{x_1}=2 \\ \\ & \quad \mathbf{in} & \mathbf{fun} \ y \ \rightarrow \ y+\textcolor{red}{x_1} \\ \\ \mathbf{in} & f \ x \end{array}$$

the inner definition becomes redundant !!!

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let 
$$x = y$$
in let  $x_1 = 2$ 
in let  $f = \text{fun } y \rightarrow y + x_1$ 
in  $x + x_1$ 

#### Idea 2:

- → We apply our value analysis.
- → We ignore global variables :-)
- → We only substitute functions without free variables :-))

### Example: The map-Function

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- The actual parameter f in the application map g is always fun  $x \to x \cdot x$ :
- Therefore, map g can be specialized to a new function h defined by:

```
\begin{array}{lll} \mathbf{h} & = & \mathbf{let} \; g = \boxed{\mathbf{fun} \; x \; \rightarrow \; x \cdot x} \\ & \mathbf{in} \; \; \mathbf{fun} \; x \; \rightarrow \; \mathbf{match} \; x \\ & \mathbf{with} \; \; [\;] \; \rightarrow & [\;] \\ & | & x :: xs \; \rightarrow \; g \; x :: \boxed{\mathbf{map} \; g} \; xs \end{array}
```

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in map f list

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```

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Idea 2:

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Example: The map-Function
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The inner occurrence of map g can be replaced with h

⇒ fold-Transformation :-)

$$\begin{array}{rcl} \mathbf{h} & = & \mathbf{let} \; g = \mathbf{fun} \; x \; \rightarrow \; x \cdot x \\ & & \mathbf{in} \; \; \mathbf{fun} \; x \; \rightarrow \; \mathbf{match} \; x \\ & & \mathbf{with} \; \; [\;] \; \rightarrow \; \; [\;] \\ & & | \; \; \; x :: xs \; \rightarrow \; g \; x :: \mathbf{h} \; xs \end{array}$$

Inlining the function g yields:

$$\begin{array}{lll} \mathbf{h} &=& \mathbf{let} \; g = \mathbf{fun} \; x \; \rightarrow \; x \cdot x \\ & & \mathbf{in} \; \; \mathbf{fun} \; x \; \rightarrow \; \mathbf{match} \; x \\ & & \mathbf{with} \; \; [\;] \; \rightarrow \; \; [\;] \\ & & | \; \; \; x :: xs \; \rightarrow \; \; (\; \mathbf{let} \; x = x \\ & & \quad \mathbf{in} \; \; x * x \; ) \; :: \; \mathbf{h} \; xs \end{array}$$

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Removing useless definitions and variable-variable assignments yields:

$$\begin{array}{rcl} \mathbf{h} & = & \mathbf{fun} \; x \; \rightarrow \; \mathbf{match} \; x \\ & & \mathbf{with} \; \left[ \; \right] \; \rightarrow & \left[ \; \right] \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & \\ & & \\ & & \\ & \\ & & \\$$

Removing useless definitions and variable-variable assignments yields:

$$\mathbf{h} \ = \ \mathbf{fun} \ x \ \to \mathbf{match} \ x$$
 
$$\mathbf{with} \ [] \ \to \ []$$
 
$$| \ x :: xs \ \to \ x*x :: \mathbf{h} \ xs$$

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#### 4.5 Deforestation

- Functional programmers love to collect intermediate results in lists which are processed by higher-order functions.
- Examples of such higher-order functions are:

$$\mathsf{map} = \mathbf{fun} \ f \rightarrow \mathbf{fun} \ l \rightarrow \mathbf{match} \ l \ \mathbf{with} \ [] \rightarrow \ []$$
$$\mid x :: xs \rightarrow f \ x :: \mathbf{map} \ f \ xs)$$

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```
\begin{array}{lll} \mbox{filter} &=& \mbox{fun} \; p \; \rightarrow \; \; \mbox{fun} \; l \; \rightarrow \; \mbox{match} \; l \; \mbox{with} \; [\;] \; \rightarrow \; [\;] \\ & | \; x :: xs \; \rightarrow \; \mbox{if} \; p \, x \; \mbox{then} \; x :: \mbox{filter} \; p \; xs \\ & & \mbox{else filter} \; p \; xs ) \\ \\ \mbox{foldl} &=& \mbox{fun} \; f \; \rightarrow \; \; \mbox{fun} \; a \; \rightarrow \; \mbox{fun} \; l \; \rightarrow \; \; \mbox{match} \; l \; \mbox{with} \; [\;] \; \rightarrow \; a \\ & | \; x :: xs \; \rightarrow \; \mbox{foldl} \; f \; (f \, a \, x) \; xs ) \end{array}
```

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 $\begin{array}{lll} \operatorname{id} & = & \operatorname{fun}\,x \,\to\, x \\ \\ \operatorname{comp} & = & \operatorname{fun}\,f \,\to\, \operatorname{fun}\,g \,\to\, \operatorname{fun}\,x \,\to\, f\,(g\,x) \\ \\ \operatorname{comp}_1 & = & \operatorname{fun}\,f \,\to\, \operatorname{fun}\,g \,\to\, \operatorname{fun}\,x_1 \,\to\, \operatorname{fun}\,x_2 \,\to\, \\ & & f\,(g\,x_1)\,x_2 \\ \\ \operatorname{comp}_2 & = & \operatorname{fun}\,f \,\to\, \operatorname{fun}\,g \,\to\, \operatorname{fun}\,x_1 \,\to\, \operatorname{fun}\,x_2 \,\to\, \\ & & f\,x_1\,(g\,x_2) \end{array}$ 

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## Example:

#### Observations:

- Explicit recursion does no longer occur!
- The implementation creates unnecessary intermediate data-structures!

length could also be implemented as:

$$\begin{array}{lll} \mbox{length} & = & \mbox{let} \ f & = & \mbox{fun} \ a \ \rightarrow \ \mbox{fun} \ x \ \rightarrow \ a+1 \\ & \mbox{in} \ \ \mbox{foldl} \ f \ 0 \end{array}$$

This implementation avoids to create intermediate lists !!!

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## Simplification Rules:

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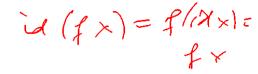
### Warning:

Function compositions also could occur as nested function calls ...

```
\begin{array}{rcl} \operatorname{id} x & = & x \\ \operatorname{map} \operatorname{id} l & = & l \\ \operatorname{map} f \left( \operatorname{map} g \, l \right) & = & \operatorname{map} \left( \operatorname{comp} f \, g \right) \, l \\ \operatorname{foldl} f \, a \left( \operatorname{map} g \, l \right) & = & \operatorname{foldl} \left( \operatorname{comp}_2 f \, g \right) a \, l \\ \operatorname{filter} p_1 \left( \operatorname{filter} p_2 \, l \right) & = & \operatorname{filter} \left( \operatorname{fun} x \to p_1 \, x \wedge p_2 \, x \right) \, l \\ \operatorname{foldl} f \, a \left( \operatorname{filter} p \, l \right) & = & \operatorname{let} \, h = \operatorname{fun} \, a \to \operatorname{fun} \, x \to & \operatorname{if} \, p \, x \, \operatorname{then} \, f \, a \, x \\ & & \operatorname{else} \, a \\ & & \operatorname{in} \, \operatorname{foldl} \, h \, a \, l \end{array}
```

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## Simplification Rules:



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Function compositions also could occur as nested function calls ...

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```

## Example, optimized:

## Example, optimized:

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### Example:

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# Example, optimized:

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### Remarks:

- All intermediate lists have disappeared :-)
- Only fold remain i.e., loops :-))
- Compositions of functions can be further simplified in the next step by Inlining.
- Inside dev, we then obtain:

$$g = \mathbf{fun} \ a \to \mathbf{fun} \ x \to \mathbf{let} \quad x_1 = x - mean$$
 
$$x_2 = x_1 \cdot x_1$$
 
$$\mathbf{in} \ a + x_2$$

• The result is a sequence of **let**-definitions !!!

#### Extension: Tabulation

If the list has been created by tabulation of a function, the creation of the list sometimes can be avoided ...

Then we have:

$$comp (map f) (tabulate g) = tabulate (comp f g)$$
  
 $comp (foldl f a) (tabulate g) = loop (comp, f g) a$ 

where:

$$\begin{array}{rcl} \mathsf{loop'} &=& \mathbf{fun} \; j \; \to & \mathbf{fun} \; f \; \to \; \mathbf{fun} \; a \; \to \; \mathbf{fun} \; n \; \to \\ & & \quad \quad & \mathbf{if} \; j \geq n \; \mathbf{then} \; a \\ & \quad \quad & \quad \quad & \quad & \mathbf{else} \; \mathsf{loop'} \; (j+1) \; f \; (f \; a \; j)) \; n \\ \\ \mathsf{loop} &=& \; \mathsf{loop'} \; 0 \end{array}$$

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Then we have:

$$comp (map f) (tabulate g) = tabulate (comp f g)$$
  
 $comp (foldl f a) (tabulate g) = loop (comp_2 f g) a$ 

where:

$$\begin{array}{rcl} \mathsf{loop'} &=& \mathbf{fun} \ j \ \to & \mathbf{fun} \ f \ \to & \mathbf{fun} \ a \ \to & \mathbf{fun} \ n \ \to \\ & & \mathbf{if} \ j \geq n \ \mathbf{then} \ a \\ & & \mathbf{else} \ \mathsf{loop'} \ (j+1) \ f \ (f \ a \ j)) \ n \\ \\ \mathsf{loop} &=& \mathsf{loop'} \ 0 \end{array}$$

Extension (2): List Reversals

Sometimes, the ordering of lists or arguments is reversed:

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Sometimes, the ordering of lists or arguments is reversed:

```
\operatorname{rev}' \qquad = & \operatorname{fun} a \to & \operatorname{fun} l \to \\ & \operatorname{match} l \operatorname{ with } [] \to a \\ & | x :: xs \to & \operatorname{rev}' (x :: a) xs \\ \\ \operatorname{rev} \qquad = & \operatorname{rev}' [] \\ \\ \operatorname{comp rev rev} \qquad = & \operatorname{id} \\ \\ \operatorname{swap} \qquad = & \operatorname{fun} f \to & \operatorname{fun} x \to & \operatorname{fun} y \to f y x \\ \\ \operatorname{comp swap swap} = & \operatorname{id} \\ \\ \end{array}
```