

Title: Seidl: Programoptimierung (21.01.2013)

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4.1 A Simple Functional Language

For *simplicity*, we consider:

$$\begin{aligned} e & ::= b \mid (e_1, \dots, e_k) \mid c \ e_1 \dots e_k \mid \mathbf{fun} \ x \rightarrow e \\ & \quad \mid (e_1 \ e_2) \mid (\square_1 \ e) \mid (e_1 \ \square_2 \ e_2) \mid \\ & \quad \mathbf{let} \ x_1 = e_1 \ \mathbf{in} \ e_0 \mid \\ & \quad \mathbf{match} \ e_0 \ \mathbf{with} \ p_1 \rightarrow e_1 \ \mid \dots \mid p_k \rightarrow e_k \\ p & ::= b \mid x \mid c \ x_1 \dots x_k \mid (x_1, \dots, x_k) \\ t & ::= \mathbf{let} \ \mathbf{rec} \ x_1 = e_1 \ \mathbf{and} \ \dots \ \mathbf{and} \ x_k = e_k \ \mathbf{in} \ e \end{aligned}$$

where b is a constant, x is a variable, c is a (data-)constructor and \square_i are i -ary operators.

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(1 2)

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... in the Example:

A definition of `max` may look as follows:

```
let max = fun x → match x with (x1, x2) → (  
    match x1 < x2  
    with True → x2  
    | False → x1  
)
```

in e

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Accordingly, we have for `abs`:

```
let abs = fun x → let z = (x, -x)  
    in max z
```

4.2 A Simple Value Analysis

Idea:

For every subexpression `e` we collect the set $\llbracket e \rrbracket^\sharp$ of possible values of `e`...

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Let V denote the set of occurring (classes of) constants, functions as well as applications of constructors and operators. As our lattice, we choose:

$$\mathbb{V} = 2^V$$

As usual, we put up a **constraint system**:

- If `e` is a value, i.e., of the form: `b, c e1 ... ek, (e1, ..., ek)`, an operator application or `fun x → e` we generate the constraint:

$$\llbracket e \rrbracket^\sharp \supseteq \{e\}$$

- If `e` \equiv `(e1 e2)` and `f` \equiv `fun x → e'`, then

$$\llbracket e \rrbracket^\sharp \supseteq (f \in \llbracket e_1 \rrbracket^\sharp) ? \llbracket e' \rrbracket^\sharp : \emptyset$$

$$\llbracket x \rrbracket^\sharp \supseteq (f \in \llbracket e_1 \rrbracket^\sharp) ? \llbracket e_2 \rrbracket^\sharp : \emptyset$$

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...

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- If $e \equiv \text{let } x_1 = e_1 \text{ in } e_0$ then we generate:

$$\llbracket x_1 \rrbracket^\# \supseteq \llbracket e_1 \rrbracket^\#$$

$$\llbracket e \rrbracket^\# \supseteq \llbracket e_0 \rrbracket^\#$$
- Analogously for $t \equiv \text{letrec } x_1 = e_1 \dots x_k = e_k \text{ in } e_0$:

$$\llbracket x_i \rrbracket^\# \supseteq \llbracket e_i \rrbracket^\#$$

$$\llbracket t \rrbracket^\# \supseteq \llbracket e_0 \rrbracket^\#$$

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- int-values returned by operators are described by the unevaluated expression; Operator applications might return Boolean values or other basic values. Therefore, we do replace tests for basic values by **non-deterministic** choice ...
- Assume $e \equiv \text{match } e_0 \text{ with } p_1 \rightarrow e_1 \mid \dots \mid p_k \rightarrow e_k$. Then we generate for $p_i \equiv b$ (basic value),

$$\llbracket e \rrbracket^\# \supseteq \llbracket e_i \rrbracket^\#$$

...

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If $p_i \equiv c y_1 \dots y_k$ and $v \equiv c e'_1 \dots e'_k$ is a value, then

$$\llbracket e \rrbracket^\# \supseteq (v \in \llbracket e_0 \rrbracket^\#) ? \llbracket e_i \rrbracket^\# : \emptyset$$

$$\llbracket y_j \rrbracket^\# \supseteq (v \in \llbracket e_0 \rrbracket^\#) ? \llbracket e'_j \rrbracket^\# : \emptyset$$

If $p_i \equiv (y_1, \dots, y_k)$ and $v \equiv (e'_1, \dots, e'_k)$ is a value, then

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Example The `append`-Function

Consider the concatenation of two lists. In `OCaml`, we would write:

```
let rec app = fun x → match x with
  [] → fun y → y
  | h::t → fun y → h::app t y
in app [1;2] [3]
```

The analysis then results in:

$$\begin{aligned} \llbracket \text{app} \rrbracket^\# &= \{ \text{fun } x \rightarrow \text{match } \dots \} \\ \llbracket x \rrbracket^\# &= \{ [1; 2], [2], [] \} \\ \llbracket \text{match } \dots \rrbracket^\# &= \{ \text{fun } y \rightarrow y, \text{fun } y \rightarrow h :: \text{app } \dots \} \\ \llbracket y \rrbracket^\# &= \{ [3] \} \\ \dots & \end{aligned}$$

$$\begin{aligned} \dots & \\ \llbracket h \rrbracket^\# &= \{ 1, 2 \} \\ \llbracket t \rrbracket^\# &= \{ [2], [] \} \\ \llbracket \text{app } t \rrbracket^\# &= \\ \llbracket \text{app } [1; 2] \rrbracket^\# &= \{ \text{fun } y \rightarrow y, \text{fun } y \rightarrow h :: \text{app } \dots \} \\ \llbracket \text{app } t y \rrbracket^\# &= \\ \llbracket \text{app } [1; 2] [3] \rrbracket^\# &= \{ [3], h :: \text{app } \dots \} \end{aligned}$$

Values $c e_1 \dots e_k$, (e_1, \dots, e_k) or operator applications $e_1 \square e_2$ now are interpreted as **recursive** calls $c \llbracket e_1 \rrbracket^\# \dots \llbracket e_k \rrbracket^\#$, $(\llbracket e_1 \rrbracket^\#, \dots, \llbracket e_k \rrbracket^\#)$ or $\llbracket e_1 \rrbracket^\# \square \llbracket e_2 \rrbracket^\#$, respectively.

\implies regular tree grammar

$$\begin{aligned} \dots & \\ \llbracket h \rrbracket^\# &= \{ 1, 2 \} \\ \llbracket t \rrbracket^\# &= \{ [2], [] \} \\ \llbracket \text{app } t \rrbracket^\# &= \\ \llbracket \text{app } [1; 2] \rrbracket^\# &= \{ \text{fun } y \rightarrow y, \text{fun } y \rightarrow h :: \text{app } \dots \} \\ \llbracket \text{app } t y \rrbracket^\# &= \\ \llbracket \text{app } [1; 2] [3] \rrbracket^\# &= \{ [3], h :: \text{app } \dots \} \end{aligned}$$

Handwritten notes in red:
 $\llbracket e \rrbracket^\# \ni c_0 e_1 \dots e_2$
 $\llbracket e \rrbracket^\# \ni c_1 \square \llbracket e \rrbracket^\#$
 $\llbracket e \rrbracket^\# \ni c_2 \square$
 $c(c_1 \square, c_2 \square, \dots)$

Values $c e_1 \dots e_k$, (e_1, \dots, e_k) or operator applications $e_1 \square e_2$ now are interpreted as **recursive** calls $c \llbracket e_1 \rrbracket^\# \dots \llbracket e_k \rrbracket^\#$, $(\llbracket e_1 \rrbracket^\#, \dots, \llbracket e_k \rrbracket^\#)$ or $\llbracket e_1 \rrbracket^\# \square \llbracket e_2 \rrbracket^\#$, respectively.

\implies regular tree grammar

... in the Example:

We obtain for $A = \llbracket \text{app } t y \rrbracket^\#$:

$$\begin{aligned} A &\rightarrow [3] \mid \llbracket h \rrbracket^\# :: A \\ \llbracket h \rrbracket^\# &\rightarrow 1 \mid 2 \end{aligned}$$

Let $\mathcal{L}(e)$ denote the set of terms derivable from $\llbracket e \rrbracket^\#$ w.r.t. the regular tree grammar. Thus, e.g.,

$$\begin{aligned} \mathcal{L}(h) &= \{ 1, 2 \} \\ \mathcal{L}(\text{app } t y) &= \{ [a_1; \dots; a_r; 3] \mid r \geq 0, a_i \in \{ 1, 2 \} \} \end{aligned}$$

Example The `append`-Function

Consider the concatenation of two lists. In `Ocaml`, we would write:

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let rec app = fun x → match x with
  [] → fun y → y
  | h::t → fun y → h::app t y
in app [1;2] [3]
```

The analysis then results in:

```
[[app]]# = {fun x → match ...}
[[x]]# = {[1; 2], [2], []}
[[match ...]]# = {fun y → y, fun y → h::app ...}
[[y]]# = {[3]}
...
```

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... in the Example:

We obtain for $A = \llbracket \text{app } t \ y \rrbracket^\#$:

```
A → [3] | [[h]]# :: A
[[h]]# → 1 | 2
```

Let $\mathcal{L}(e)$ denote the set of terms derivable from $\llbracket e \rrbracket^\#$ w.r.t. the regular tree grammar. Thus, e.g.,

```
 $\mathcal{L}(h) = \{1, 2\}$ 
 $\mathcal{L}(\text{app } t \ y) = \{[a_1; \dots, a_r; 3] \mid r \geq 0, a_i \in \{1, 2\}\}$ 
```

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4.3 An Operational Semantics

Idea:

We construct a **Big-Step** operational semantics which evaluates expressions w.r.t. an environment ρ :

Values are of the form:

```
 $v ::= b \mid c \ v_1 \dots c_k \mid (v_1, \dots, v_k) \mid (\text{fun } x \rightarrow e, \eta)$ 
```

Examples for Values:

```
c 1
[1; 2] = :: 1 (:: 2 [])
(fun x → x::y, {y ↦ [5]})
```

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Expressions are evaluated w.r.t. an **environment** $\eta : \text{Vars} \rightarrow \text{Values}$.

The **Big-Step** operational semantics provides rules to infer the value to which an expression is evaluated w.r.t. a given environment, i.e., deals with statements of the form:

$$(e, \eta) \Longrightarrow v$$

Values:

$$(b, \eta) \Longrightarrow b$$

$$(\text{fun } x \rightarrow e, \eta) \Longrightarrow (\text{fun } x \rightarrow e, \eta)$$

$$(e_1, \eta) \Longrightarrow v_1 \dots (e_k, \eta) \Longrightarrow v_k$$

$$(c \ e_1 \dots e_k, \eta) \Longrightarrow c \ v_1 \dots v_k$$

Operator applications are treated analogously!

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The **Big-Step** operational semantics provides rules to infer the value to which an expression is evaluated w.r.t. a given environment, i.e., deals with statements of the form:

$$(e, \eta) \Rightarrow v$$

Values:

$$(b, \eta) \Rightarrow b$$

$$(\text{fun } x \rightarrow e, \eta) \Rightarrow (\text{fun } x \rightarrow e, \eta)$$

$$(e_1, \eta) \Rightarrow v_1 \dots (e_k, \eta) \Rightarrow v_k$$

$$(c e_1 \dots e_k, \eta) \Rightarrow c v_1 \dots v_k$$

Operator applications are treated analogously!

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$$(e_1, \eta) \Rightarrow v_1 \quad (e_2, \eta) \Rightarrow v_2 \quad v_1 + v_2 = 5$$

$$(e_1 + e_2, \eta) \Rightarrow 5$$

$$(e_1, \eta) \Rightarrow v_1 \quad \dots \quad (e_k, \eta) \Rightarrow v_k$$

$$((e_1, \dots, e_k), \eta) \Rightarrow (v_1, \dots, v_k)$$

Global Definition:

let rec ... $x = e$... **in** ...

$$(e, \emptyset) \Rightarrow v$$

$$(x, \eta) \Rightarrow v$$

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Function Application:

$$(e_1, \eta) \Rightarrow (\text{fun } x \rightarrow e, \eta_1)$$

$$(e_2, \eta) \Rightarrow v_2$$

$$(e, \eta_1 \oplus \{x \mapsto v_2\}) \Rightarrow v_3$$

$$\square (e_1 e_2, \eta) \Rightarrow v_3$$

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Case Distinction 1:

$$\frac{\begin{array}{l} (e, \eta) \Rightarrow b \\ (e_i, \eta) \Rightarrow v \end{array}}{(\text{match } e \text{ with } p_1 \rightarrow e_1 \mid \dots \mid p_k \rightarrow e_k, \eta) \Rightarrow v}$$

if $p_i \equiv b$ is the first pattern which matches b :-)

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Case Distinction 2:

$$\frac{\begin{array}{l} (e, \eta) \Rightarrow c v_1 \dots v_k \\ (e_i, \eta \oplus \{z_1 \mapsto v_1, \dots, z_k \mapsto v_k\}) \Rightarrow v \end{array}}{(\text{match } e \text{ with } p_1 \rightarrow e_1 \mid \dots \mid p_k \rightarrow e_k, \eta) \Rightarrow v}$$

if $p_i \equiv c z_1 \dots z_k$ is the first pattern which matches $c v_1 \dots v_k$:-)

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Case Distinction 4:

$$\frac{\begin{array}{l} (e, \eta) \Rightarrow v' \\ (e_i, \eta \oplus \{x \mapsto v\}) \Rightarrow v \end{array}}{(\text{match } e \text{ with } p_1 \rightarrow e_1 \mid \dots \mid p_k \rightarrow e_k, \eta) \Rightarrow v}$$

if $p_i \equiv x$ is the first pattern which matches v' :-)

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Local Definitions:

$$\frac{\begin{array}{l} (e_1, \eta) \Rightarrow v_1 \\ (e_0, \eta \oplus \{x_1 \mapsto v_1\}) \Rightarrow v_0 \end{array}}{(\text{let } x_1 = e_1 \text{ in } e_0, \eta) \Rightarrow v_0}$$

Variables:

$$(x, \eta) \Rightarrow \eta(x)$$

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Correctness of the Analysis:

For every (e, η) occurring in a proof for the program, it should hold:

- If $\eta(x) = v$, then $[v] \Delta \mathcal{L}(x)$.
- If $(e, \eta) \Longrightarrow v$, then $[v] \Delta \mathcal{L}(e) \dots$
- where $[v]$ is the **stripped** expression corresponding to v , i.e., obtained by removing all environments, and
- $v \Delta L$ iff $v \in L$ or L has an expression v' which evaluates to v .

Conclusion:

$\mathcal{L}(e)$ returns a **superset** of the values to which e is evaluated :-)

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4.4 Application: Inlining

Problem:

- **global variables**. The program:

```
let x = 1
in let f = let x = 2
      in fun y → y + x
in f x
```

806

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... computes something else than:

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- **recursive functions**. In the definition:

```
foo = fun y → foo y
```

`foo` should better not be substituted :-)

807

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Idea 1:

- First, we introduce **unique** variable names.
- Then, we only substitute functions which are **staticly** within the scope of the **same** global variables as the application :-)
- For every expression, we determine all function definitions with this property :-)

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Let $D = D[e]$ denote the set of definitions which staticly arrive at e .

- If $e \equiv \text{let } x_1 = e_1 \text{ in } e_0$ then:

$$D[e_1] = D$$

$$D[e_0] = D \cup \{x_1\}$$

- If $e \equiv \text{fun } x \rightarrow e_1$ then:

$$D[e_1] = D \cup \{x\}$$

- Similarly, for $e \equiv \text{match } e_0 . c x_1 \dots x_k \rightarrow e_i \dots,$

$$D[e_i] = D \cup \{x_1, \dots, x_k\}$$

$$D[e_0] = D$$

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In all other cases, D is propagated to the sub-expressions unchanged :-)

... in the Example:

```
let x = 1
in let f = let x1 = 2
      in fun y → y + x1
in f x
```

... the application f x is not in the scope of x₁

⇒ we first duplicate the definition of x₁ :

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```
let x = 1
in let x1 = 2
in let f = let x1 = 2
      in fun y → y + x1
in f x
```

⇒ the inner definition becomes redundant !!!

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```
let x = 1
in let x1 = 2
in let f = fun y → y + x1
in f x
```

⇒ now we can apply inlining :

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```
let x = 1
in let x1 = 2
in let f = fun y → y + x1
in let y = x
in y + x1
```

Removing [variable-variable](#)-assignments, we arrive at:

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