### Script generated by TTT

Title: Simon: Compilerbau (29.04.2013)

Date: Mon Apr 29 14:17:08 CEST 2013

Duration: 94:24 min

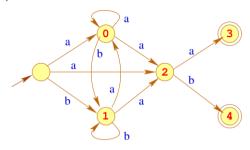
Pages: 63

Lexical Analysis

# Chapter 4: Turning NFAs deterministic

### **Berry-Sethi Approach**

... for example:



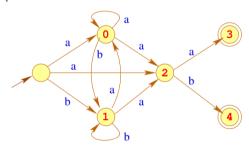
### Remarks:

- This construction is known as Berry-Sethi- or Glushkov-construction.
- It is used for XML to define Content Models
- The result may not be, what we had in mind...

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### Berry-Sethi Approach

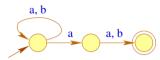
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- It is used for XML to define Content Models
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### The expected outcome:



### Remarks:

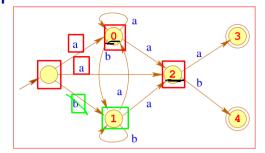
- ingoing edges do not necessarily have the same label here
- but Berry-Sethi is rather directly constructed
- Anyway, we need a deterministic technique

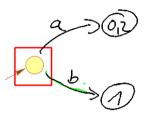
⇒ Powerset-Construction

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### **Powerset Construction**

... for example:

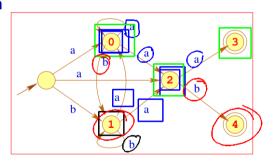


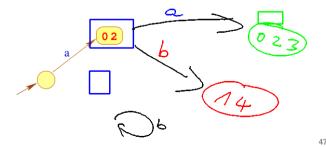


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### **Powerset Construction**

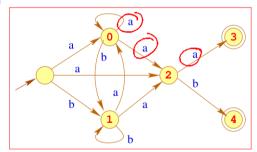
... for example:

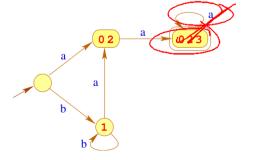




### **Powerset Construction**

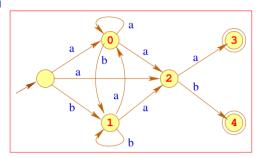
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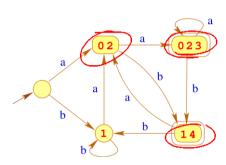




### **Powerset Construction**

... for example:





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### Theorem:

For every non-deterministic automaton  $A = (Q, \Sigma, \delta, I, F)$  we can compute a deterministic automaton  $\mathcal{P}(A)$  with



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### Theorem:

For every non-deterministic automaton  $A = \bigcirc \Sigma$ ,  $\delta$  we can compute a deterministic automaton  $\mathcal{P}(A)$  with

$$\mathcal{L}(A) = \mathcal{L}(\mathcal{P}(A))$$

### Construction:

**States:** Powersets of Q;

Start state: (1:)

Final states:  $Q' \subseteq Q \mid Q' \cap P \neq \emptyset$ ; Transitions:  $\delta_{\mathcal{P}}(Q', a) = \{q \in Q \mid \exists p \in Q' : (p, a, q) \in \delta\}.$ 

### **Powerset Construction**

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### **Powerset Construction**

#### **Bummer!**

There are exponentially many powersets of Q

- Idea: Consider only contributing powersets. Starting with the set  $\mathcal{Q}_{\mathcal{P}} = \{ \mathbf{I} \}$  we only add further states by need
- i.e., whenever we can reach them from a state in  $Q_{\mathcal{P}}$
- Even though, the resulting automaton can become enormously huge
  - ... which is (sort of) not happening in practice

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### **Powerset Construction**

### **Bummer!**

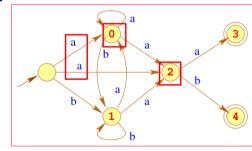
There are exponentially many powersets of *Q* 

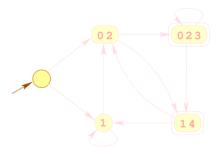
- Idea: Consider only contributing powersets. Starting with the set  $\mathcal{Q}_{\mathcal{P}} = \{I\}$  we only add further states by need
- ullet i.e., whenever we can reach them from a state in  $Q_{\mathcal{P}}$
- Even though, the resulting automaton can become enormously huge
  - ... which is (sort of) not happening in practice
- Therefore, in tools like grep a regular expression's DFA is never created!
- Instead, only the sets, directly necessary for interpreting the input are generated while processing the input

### **Powerset Construction**

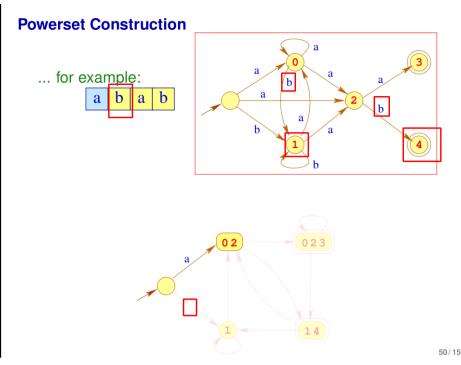
... for example:

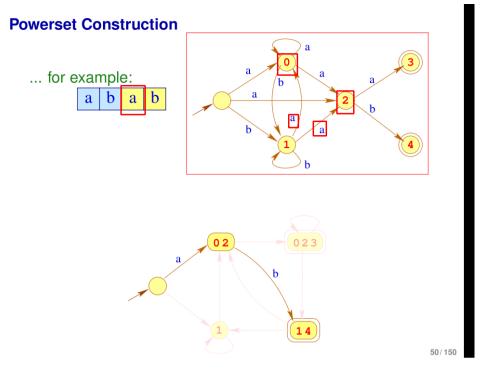


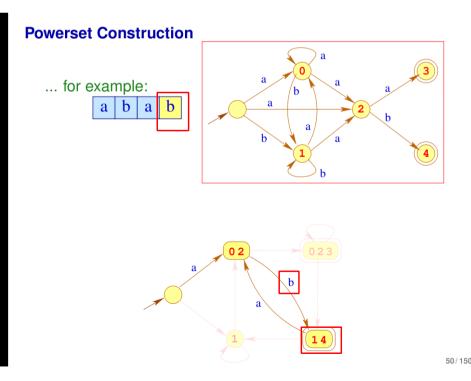


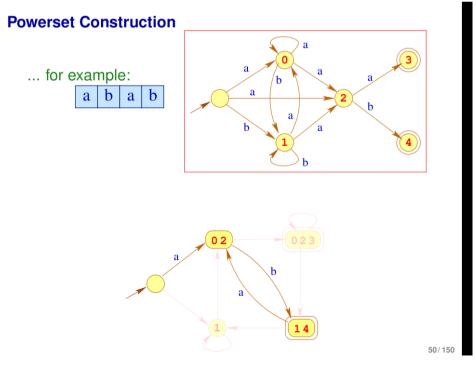


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### **Remarks:**

- For an input sequence of length n, maximally  $\mathcal{O}(n)$ sets are generated
- Once a set/edge of the DFA is generated, they are stored within a hash-table.
- Before generating a new transition, we check this table for already existing edges with the desired label.

Remarks:

- For an input sequence of length n, maximally  $\mathcal{O}(n)$ sets are generated
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Summary:

#### Theorem:

For each regular expression e we can compute a deterministic automaton  $A = \mathcal{P}(A_e)$  with

$$\mathcal{L}(A) = \llbracket e \rrbracket$$

-) Special informing

Lexical Analysis

**Chapter 5:** 

Scanner design

### Scanner design

Input (simplified):

action<sub>1</sub> action<sub>2</sub>

a set of rules:

 $e_k$ 

 $\{action_k\}$ 

### Scanner design

```
Input (simplified): a set of rules: \begin{array}{ccc} e_1 & \{ \ \texttt{action}_1 \ \} \\ e_2 & \{ \ \texttt{action}_2 \ \} \\ & \cdots \\ e_k & \{ \ \texttt{action}_k \ \} \end{array}
```

Output: a program,

```
... reading a maximal prefix w from the input, that satisfies e_1 \mid \ldots \mid e_k;
```

- ... determining the minimal i, such that  $w \in [e_i]$ ;
- ... executing  $action_i$  for w.

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### Implementation:

#### Idea:

- Create the DFA  $\mathcal{P}(A_e) = (Q, \Sigma, \delta, q_0, F)$  for the expression  $e = (e_1 \mid \ldots \mid e_k)$ ;
- Define the sets:

$$F_{1} = \{q \in F \mid q \cap last[e_{1}] \neq \emptyset\}$$

$$F_{2} = \{q \in (F \setminus F_{1}) \mid q \cap last[e_{2}] \neq \emptyset\}$$

$$\dots$$

$$F_{k} = \{q \in (F \setminus (F_{1} \cup \dots \cup F_{k-1})) \mid q \cap last[e_{k}] \neq \emptyset\}$$

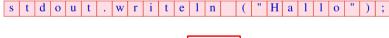
• For input w we find:  $\delta^*(q_0,w) \in F_i$  iff the scanner must execute  $action_i$  for w

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### Implementation:

Idea (cont'd):

- The scanner manages two pointers  $\langle A, B \rangle$  and the related states  $\langle q_A, q_B \rangle$ .
- Pointer  $\overline{A}$  points to the last position in the input, after which a state  $q_A \in F$  was reached;
- Pointer B tracks the current position.

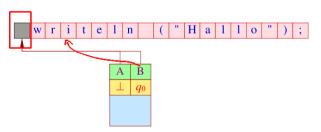




### Implementation:

Idea (cont'd):

- The scanner manages two pointers  $\langle A, B \rangle$  and the related states  $\langle q_A, q_B \rangle \dots$
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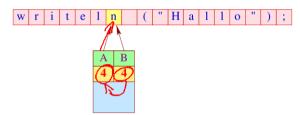
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### Implementation:

### Idea (cont'd):

• The current state being  $q_B = \emptyset$ , we consume input up to position A and reset:

$$B := A;$$
  $A := \bot;$   $q_B := q_0;$   $q_A := \bot$ 



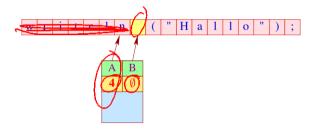
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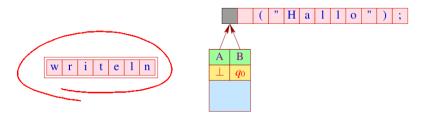


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### Implementation:

### Idea (cont'd):

• The current state being  $q_B = \emptyset$  , we consume input up to position A and reset:



### **Extension:**

### **States**

- Now and then, it is handy to differentiate between particular scanner states.
- In different states, we want to recognize different token classes with different precedences.
- Depending on the consumed input, the scanner state can be changed

### Example:

### Comments



Within a comment, identifiers, constants, comments, ... are ignored

### Input (generalized): a set of rules:

- The statement yybegin (state<sub>i</sub>); resets the
  current state to state<sub>i</sub>.
- The start state is called (e.g.flex JFlex) YYINITIAL.

### ... for example:

### **Topic:**

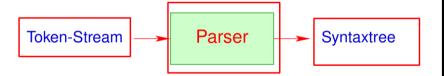
### **Syntactic Analysis**

### Remarks:

- "." matches all characters different from "\n".
- For every state we generate the scanner respectively.
- Method <u>yybegin (STATE);</u> switches between different scanners.
- Comments might be directly implemented as (admittedly overly complex) token-class.
- Scanner-states are especially handy for implementing preprocessors, expanding special fragments in regular programs.

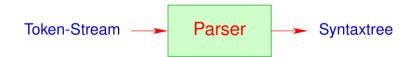
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### **Syntactic Analysis**

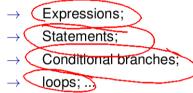


 Syntactic analysis tries to integrate Tokens into larger program units.

### **Syntactic Analysis**



- Syntactic analysis tries to integrate Tokens into larger program units.
- Such units may possibly be:



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### Discussion:

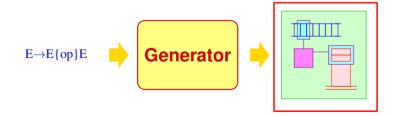
In general, parsers are not developed by hand, but generated from a specification:



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### **Discussion:**

In general, parsers are not developed by hand, but generated from a specification:



Specification of the hierarchical structure: contextfree grammars

**Generated implementation:** Pushdown automata + X

Syntactic Analysis

### Chapter 1:

**Basics of contextfree Grammars** 

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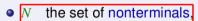
### **Basics: Context-free Grammars**

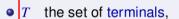
- Programs of programming languages can have arbitrary numbers of tokens, but only finitely many Token-classes.
- This is why we choose the set of Token-classes to be the finite alphabet of terminals T.
- The nested structure of program components can be described elegantly via context-free grammars...

### **Definition:**

A context-free grammar (CFG) is a

4-tuple G = (N, T, P, S) with:





• P the set of productions or rules, and

 $S \in N$  the start symbol

### **Conventions**

The rules of context-free grammars take the following form:



$$A \in N$$

 $\rightarrow \alpha$  with  $A \in N$ ,  $\alpha \in (N \cup T)^*$ 

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... for example:

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Specified language:



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... for example:

$$S \rightarrow aSb$$

$$S \rightarrow \epsilon$$

Specified language:  $\{a^nb^n \mid n \ge 0\}$ 

### **Conventions:**

In examples, we specify nonterminals and terminals in general implicitely:

- nonterminals are:  $A, B, C, ..., \langle \exp \rangle, \langle \text{stmt} \rangle$  ...;
- terminals are: a, b, c, ..., int, name, ...;

### ... further examples:

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### **Further conventions:**

- For every nonterminal, we collect the right hand sides of rules and list them together.
- The *j*-th rule for A can be identified via the pair (A, j) (with  $j \ge 0$ ).

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### further grammars:

$E \rightarrow E+E$	E*E	$(E)$	name	int
$E \rightarrow E+T$	T			
$T \rightarrow T*F$	$\mid F \mid$			
$F \rightarrow (E)$	name	int		

Both grammars describe the same language

### further grammars:

E	$\rightarrow$	$E+E^{0}$	$E*E^{1}$	(	$(E)^2$	name <sup>3</sup>	int <sup>4</sup>
$\overline{E}$		$E+T^{0}$	T <sup>1</sup>				
$\mid T \mid$	$\rightarrow$	$T*F^{0}$	$F^{1}$				
F	$\rightarrow$	$(E)^{0}$	name 1		int <sup>2</sup>		

Both grammars describe the same language

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### **Derivation**

Grammars are term rewriting systems. The rules offer feasible rewriting steps. A sequence of such rewriting steps  $\alpha_0 \to \ldots \to \alpha_m$  is called derivation.

... for example: 
$$\underline{\underline{E}} \rightarrow \underline{\underline{E} + T}$$

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$$\text{... for example:} \begin{array}{ccc} \underline{E} & \rightarrow & \underline{E} + T \\ & \rightarrow & \underline{T} + T \end{array}$$

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A derivation  $\rightarrow$  is a relation on words over  $N \cup T$ , with

$$\alpha \to \alpha'$$
 iff  $\alpha = \alpha_1 A \alpha_2 \wedge \alpha' = \alpha_1 \beta \alpha_2$  for an  $A \to \beta \in P$ 

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The reflexive and transitive closure of  $\rightarrow$  is denoted as:

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### **Derivation**

### Remarks:

- ullet The relation ullet depends on the grammar
- In each step of a derivation, we may choose:
  - \* a spot, determining where we will rewrite.
  - \* a rule, determining how we will rewrite.
- The language, specified by G is:

$$\mathcal{L}(G) = \{ w \in T^* \mid S \to^* w \}$$

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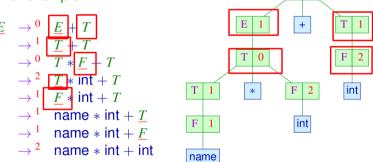
### Attention:

The order, in which disjunct fragments are rewritten is not relevant.

### **Derivation tree**

Derivations of a symbol are represented as derivation tree:

... for example:



A derivation tree for  $A \in N$ :

inner nodes: rule applications

**root:** rule application for A

**leaves:** terminals or  $\epsilon$ 

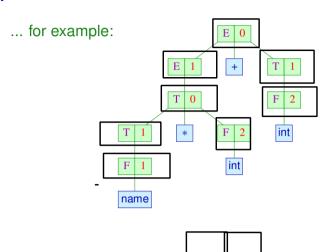
### **Special Derivations**

#### Attention:

In contrast to arbitrary derivations, we find special ones, always rewriting the leftmost (or rather rightmost) occurance of a nonterminal.

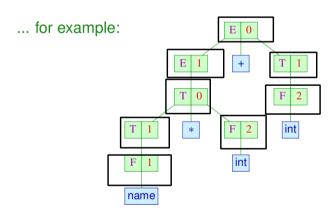
- These are called leftmost (or rather rightmost) derivations and are denoted with the index L (or R respectively).
- Leftmost (or rightmost) derivations correspond to a left-to-right (or right-to-left) preorder-DFS-traversal of the derivation tree.
- Reverse rightmost derivations correspond to a left-to-right postorder-DFS-traversal of the derivation tree

### **Special Derivations**



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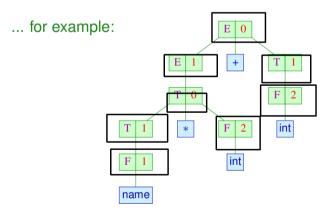
### **Special Derivations**



#### Leftmost derivation:

$$\begin{array}{c} (E,0) \ (E,1) \ (T,0) \ (T,1) \ (F,1) \ (F,2) \ (T,1) \ (F,2) \\ \hline \text{Rightmost derivation:} \\ (E,0) \ (T,1) \ (F,2) \ (E,1) \ (T,0) \ (F,2) \ (T,1) \ (F,1) \\ \hline \end{array}$$

### **Special Derivations**



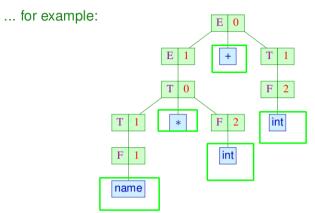
### Leftmost derivation:

(E,0) (E,1) (T,0) (T,1) (F,1) (F,2) (T,1) (F,2)Rightmost derivation: (E,0) (T,1) (F,2) (E,1) (T,0) (F,2) (F,1) (F,1)Reverse rightmost derivation.

(F, 1) (T, 1) (F, 2) (T, 0) (E, 1) (F, 2) (T, 1) (E, 0)

### **Unique grammars**

The concatenation of leaves of a derivation tree  $\ t$  are often called  $\ yield(t)$ .



gives rise to the concatenation:

 $\mathsf{name} * \mathsf{int} + \mathsf{int}$ .

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### **Unique grammars**

### **Definition:**

Grammar G is called unique, if for every  $w \in T^*$  there is maximally one derivation tree t of S with yield(t) = w.

... in our example:

E	$\rightarrow$	$E+E^{0} \mid E*E^{1} \mid (E)^{2} \mid \text{name}^{3}$	int <sup>4</sup>
E	$\rightarrow$	$E+T^{\ 0} \   \ T^{\ 1}$ $T*F^{\ 0} \   \ F^{\ 1}$	
T	$\rightarrow$	$T*F^{0} \mid F^{1}$	
F	$\rightarrow$	$(E)^0$   name $^1$   int $^2$	

The first one is ambiguous, the second one is unique

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### **Conclusion:**

- A derivation tree represents a possible hierarchical structure of a word.
- For programming languages, only those grammars with a unique structure are of intrerest.
- Derivation trees are one-to-one corresponding with leftmost derivations as well as (reverse) rightmost derivations.
- Leftmost derivations correspond to a top-down reconstruction of the syntax tree.
- Reverse rightmost derivations correspond to a bottom-up reconstruction of the syntax tree.

Syntactic Analysis

### **Chapter 2:**

**Basics of pushdown automata** 

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